



## Seismic assessment of unreinforced masonry structures: a coupled mesoscale-DMEM approach

**Vadalà Federica** - Department of Civil Engineering, University of Minho, ISISE, Guimarães, Portugal, e-mail: federicavadala03@gmail.com

**Cusmano Valeria** - Department of Civil Engineering and Architecture (DICAR) University of Catania, Catania, Italy, e-mail: valeria.cusmano@phd.unict.it

**Szabó Simon** - Department of Civil Engineering, University of Minho, ISISE, Guimarães, Portugal, e-mail: simon.szabo117@gmail.com

**Silva Luis C.** - Department A.B.C., Politecnico di Milano, Piazza Leonardo da Vinci 32, 20133 Milan, Italy, e-mail: Luiscarlos.martinsdasilva@polimi.it

**Funari Marco Francesco\*** - Department of Civil Engineering, University of Minho, ISISE, Guimarães, Portugal, e-mail: marcofrancesco.funari@civil.uminho.pt

**Calìo Ivo** – Department of Civil Engineering and Architecture (DICAR) University of Catania, Catania, Italy, e-mail: ivo.calio@unict.it

**Lourenço Paulo B.** - Department of Civil Engineering, University of Minho, ISISE, Guimarães, Portugal, e-mail: pbl@civil.uminho.pt

**Abstract:** In this paper, numerical investigations are performed to investigate the potential of the discrete macro-element coupled with the mesoscale approach for the seismic assessment of unreinforced masonry structures. At first, parametric analyses are performed on a U-shape masonry prototype made with stone. Nonlinear static analyses are performed to investigate parameters that affect the results when a mesoscale masonry pattern representation is adopted. Results demonstrate how mesoscale representation is a powerful alternative to model unreinforced masonry structures within a discrete macro-element approach and highlight how irregularity of units can affect the structural response leading to a reduction in terms of strength and ductility if compared to the regular and periodic distribution of masonry units.

**Keywords:** Unreinforced masonry structures; Mesoscale representation; Irregular masonry pattern, Discrete Macro-Element Method (DMEM)

### 1. Introduction

In the last decades, several researchers proposed advanced analysis methods for the seismic assessment of unreinforced masonry (URM) structures (Fortunato et al., 2017; Sharma et al., 2021). Their overall classification is mainly made between numerical and analytical approaches (D'altri et al., 2020; Karimzadeh et al., 2020). Analytical approaches are often based on limit analysis theorems that have the great advantage of being simple to use and independent of most material properties but for these reasons related to a very simplified material model (Cascini et al., 2020; De Felice & Giannini, 2001; Funari et al., 2021). More sophisticated numerical approaches are typically implemented in the Finite Element Method (FEM) (Funari et al., 2021; Silva et al., 2018; Szabó et al., 2021) or Discrete Element Method (DEM) (Bui et al., 2017; Lemos, 2019) frameworks. FEM allows a more versatile application as masonry can be represented either through a homogeneous equivalent media (macro-modelling) or by a discrete representation of units and joints (micro-modelling). DEM is well suited for masonries with both dry- and mortared joints but still requires a full representation of the arrangement of the blocks (Sarhosis et al., 2019; Savalle et al., 2020).

Despite their reliability, the computational efficiency of the available numerical methods is rarely compatible with the need to have a rigorous real-time post-earthquake assessment (Lourenço & Silva, 2020). Several authors proposed alternative approaches to satisfy the need to have reliable results in relatively short computational times. Because of their simplicity and efficiency, these approaches are widely used also in engineering practice (D'Altri et al., 2021; Funari et al., 2022; Malomo & DeJong, 2021).

In this framework, macro-element approaches were proposed in which structures are described as an assemblage of macroscopic structural elements. The Equivalent Frame Model (EFM) belongs to macro-model based strategies, and national and international standards are adopting it in combination with nonlinear static analysis (Quagliarini et al., 2017). Because of its simplicity and low computational cost, it is one of the most widely adopted analysis methods in engineering practice (Siano et al., 2018). Nevertheless, it presents some limitations, such as the difficulty of discretising structures with an irregular position of openings.

In order to cover such limitations and keep the computational efficiency, a discrete macro-element method (DMEM) was proposed by (Caliò et al., 2012). DMEM allows the simulation of both the IP and OOP response of masonry walls. This innovative method has been firstly proposed and validated in the nonlinear static field (Caliò et al., 2012), and more recently, it has been extended in dynamic field and validated through the comparison with experimental tests and refined FEM simulation. As demonstrated in Chácara et al. (2017), the DMEM strategy showed its capability to simulate dynamic response characterised by coupling IP/OOP failure mechanisms. Nevertheless, just a few attempts to investigate the influence of the mesh discretisation of the macro-elements have been developed so far. To address these gaps, the paper mainly aims to: i) apply the DMEM approach by adopting a mesh representation consistent with real masonry patterns and ii) evaluate what phenomenon affects the structural response. The latter steps are conducted using a U-shape masonry prototype made with stone as a case study. Novelties of the study include the application of the mesoscale masonry irregular pattern representation in DMEM for simulating unreinforced masonry structures and numerical investigations of the phenomenon that affects the structural response when mesoscale representation is adopted.

## **2. The Discrete Macro-Element Method**

DMEM approach was first developed by (Caliò et al., 2012), and it was based on a basic plane element whose kinematics is dependent on four Lagrangian parameters only (three degrees of freedom associated to the in-plane rigid-body motion and an additional degree of freedom related to shear deformability in its own plane). This simple plane element Fig. 1a can be represented with a simple mechanical scheme constituted by an articulated quadrilateral with rigid edges connected at the vertices by four hinges and with two diagonal springs connected to the corners to simulate the shear behaviour. Each element interacts with the adjacent ones by means of a discrete distribution of a set of transversal nonlinear springs and a single sliding nonlinear spring, denoted as interfaces, which governs the flexural and sliding behaviour. However, this simple element can only simulate the nonlinear behaviour of masonry panels in their own plane, not considering the out-of-plane response. To overcome this limitation, two subsequent upgrades were performed to improve the potential of the approach. First, the OOP behaviour was considered by introducing additional rows of transversal nonlinear links and two additional OOP sliding links (able to govern the OOP shear sliding behaviour and the torsion), thus enabling the needed OOP degrees of freedoms (Fig. 1b). The number of orthogonal links is selected in accordance with the desired level of

accuracy of the linear and nonlinear response. The shear deformability is still governed by a diagonal nonlinear link that connects two opposite corners of the articulated quadrilateral. A further upgrade was introduced considering a shell macro-element (Fig. 1c) characterised by an irregular geometry, variable thickness along with the element and skew interface in order to model complex curved geometry such as arches, vaults and domes (Cannizzaro et al., 2018).

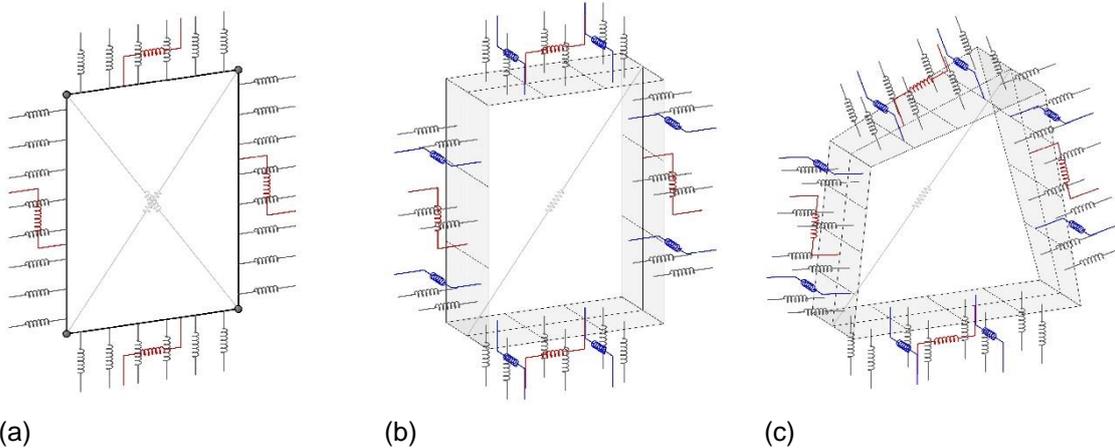


Fig. 1: DMEM evolution (a) Plane Element; (b) Regular Spatial Element; and (c) Irregular Spatial Element.

Details on the calibration procedures can be found in Pantò et al. (2017).

The reduced number of DOFs associated with each macro-element makes this approach computationally inexpensive if compared with the classical finite element formulations. DMEM approach classical interpretation implies that each macro-element must be representative of the corresponding finite portion of masonry walls, according to the macro-modelling approach. Even though DMEM is conceived as a macro-modelling strategy, there is the possibility to extend the discretisation at mesoscale representation. Nevertheless, depending on whether a macro- or mesoscale approach is adopted, it is necessary to appropriately calibrate the main mechanical parameters that influence the response as with mesoscale strategies, some physical phenomena can be described in more detail, i.e. interlocking effect between the blocks or the presence of well-defined and realistic fracture surfaces at the interface.

### 3. Numerical Investigation: U-shape stone

In this section, the effect of the mesh discretisation on the numerical simulations performed with the DMEM approach is assessed by means of parametric studies. The numerical investigation has been carried out on a simple structure of three walls forming a U-plan made of stone masonry, which idealise the experimental tests performed at the LNEC shaking table (Candeias et al., 2017). Fig. 2 **Errore. L'origine riferimento non è stata trovata.** reports the geometrical features of the masonry prototype.

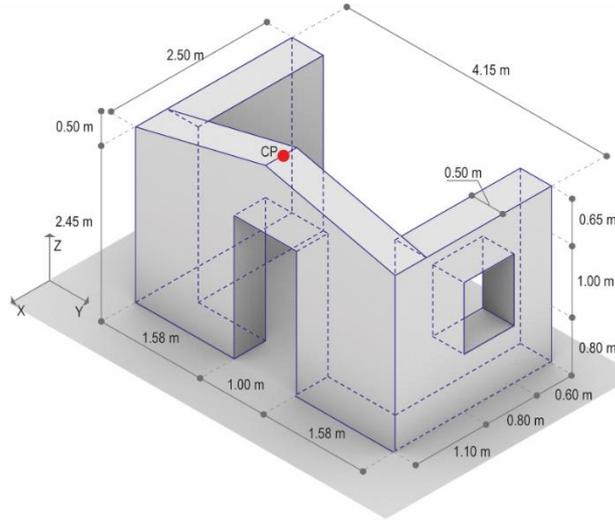


Fig. 2: Geometrical characteristics of the U-Shape stone prototype.

Four mesh discretisation were adopted for the prototype geometry and are given in Fig. 3. In particular, M1, M2, and M3 are macroscale discretisation where the characteristic dimension of the elements is gradually decreased to reach three different levels of refinement. As regard to M4, a mesoscale discretisation was adopted in agreement with the one of (Cannizzaro & Lourenço, 2016).

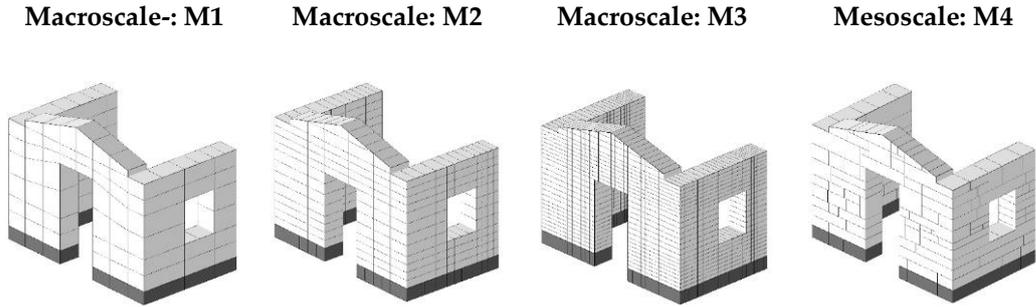


Fig. 3: Mesh discretisation adopted for the numerical investigations.

The definition of the mechanical properties have been initially conducted by assuming the same parameters adopted in (Cannizzaro & Lourenço, 2016) for all mesh discretisations (Table 1 and Table 2). It is worth underlining that when a mesoscale representation is adopted (M4), a linear-elastic constitutive law has been selected for the diagonal shear behaviour to avoid that diagonal cracking involves the single macro element. This assumption ensures that the shear failure does not occur when macro-element represents a single masonry unit (Vadalà et al., 2022).

Table 1: Mechanical properties adopted for the U-shape prototype: flexural behaviour (Cannizzaro & Lourenço, 2016).

Model	Flexural behaviour					
	Density	Young's modulus	Compressive strength	Compressive fracture energy	Tensile strength	Tensile fracture energy
	[kg/m <sup>3</sup> ]	[MPa]	[MPa]	[N/mm]	[MPa]	[N/mm]
M1,M2,M3	2360	2077	5.44	∞	0.224	0.048
M4	2360	2077	5.44	∞	0.224	0.048

Table 2: Mechanical properties adopted for the U-shape prototype: shear behaviour (Cannizzaro & Lourenço, 2016).

Model	Diagonal cracking behaviour				Sliding behaviour	
	Shear modulus	Failure criterion	$\tau_0$	$\mu_d$	c	$\mu_s$
	[MPa]		[MPa]		[MPa]	
M1,M2,M3	830	Mohr-Coulomb	0.336	0.3	0.336	0.3
M4	830	-	-	-	0.336	0.3

### 3.1. Non-linear static analyses

This section investigates the structural response of macro- and mesoscale masonry representations by performing nonlinear static analyses. In the simulations, lateral loads, distributed proportional to the mass, were applied and monotonically increased in the positive X direction.

Fig. 4 shows the comparison of the capacity curves obtained with the aforementioned mesh discretisations and with a homogeneous model performed in Abaqus (Abaqus, 2014), where the nonlinear behaviour of masonry was simulated by means of the concrete damage plasticity model (CDP). One can note how models M1, M2, M3 show small differences in terms of initial stiffness and peak loads, highlighting a slight mesh dependency when a classical DMEM approach is adopted. However, the macroscale representation cannot account for blocks' interlocking, which leads to complex interaction between the macro-elements that involves shear sliding, shear diagonal, torsional, and membrane behaviour. Indeed, the mesoscale model, i.e., M4, provides a stiffer initial behaviour and a higher peak load than the M1, M2 and M3, as a consequence of the misalignment of the vertical interfaces. Moreover, the results demonstrate how stone's interlocking influences the post-peak behaviour. In particular, two drops are shown with the mesoscale discretisation: the first one is due to the crack propagation that starts from the opening in the later wall, while the second drop is linked to the crack propagation at the base of the orthogonal wall without openings, which shows a flexural rocking mechanism in its plane. Consequently, differences in collapse mechanism can be noted in Fig. 5, where model M4 leads to more realistic results.

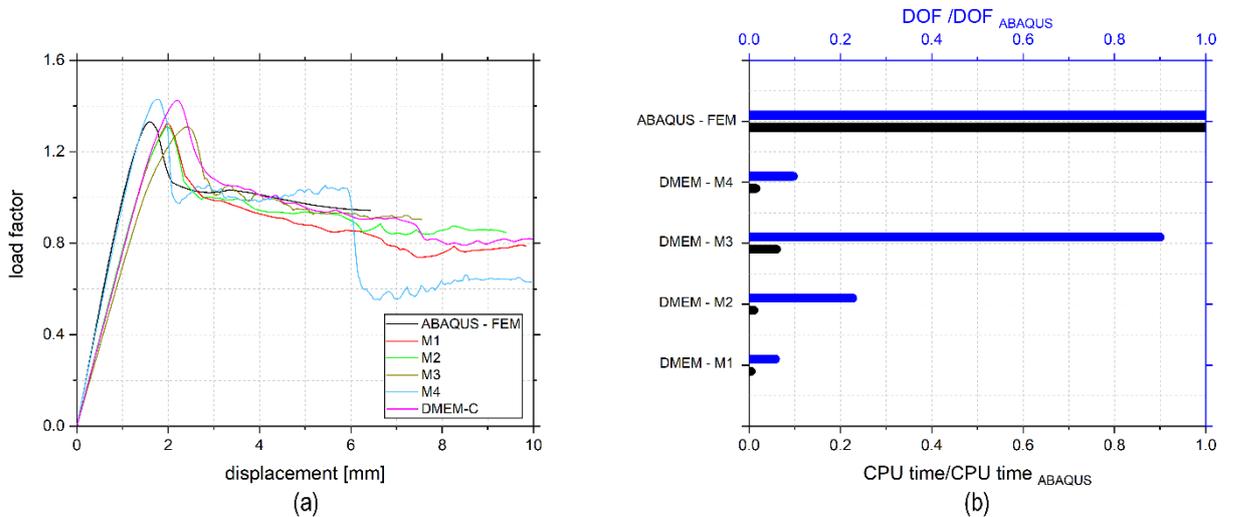


Fig. 4: Nonlinear static analysis for the stone benchmark: (a) Comparison in terms of load-displacement curve; and (b) Normalised computational time (CPU) and normalised number of degrees-of-freedom (DOFs) for each numerical simulation.

According to Pantò et al. (2019), the tensile strength and fracture energy must be recalibrated to take into account the over-strength effect provided by interlocking using a macroscale discretisation. Hence, an updated macroscale model (DMEM-C) was generated by considering the same mesh discretisation adopted for M1 and a value of 0.240 MPa and 0.060 N/mm for the tensile strength and fracture energy, respectively (see Fig. 4a). The updated macroscale model shows a much better correlation with the results from the mesoscale model.

It is worth underlining how the DMEM approach strongly reduces the computational demands (see Fig. 4b). It has also been observed that mesoscale representation may be a powerful alternative to model unreinforced masonry structures within a DMEM approach (particularly if compared with classic homogeneous FE methodologies).

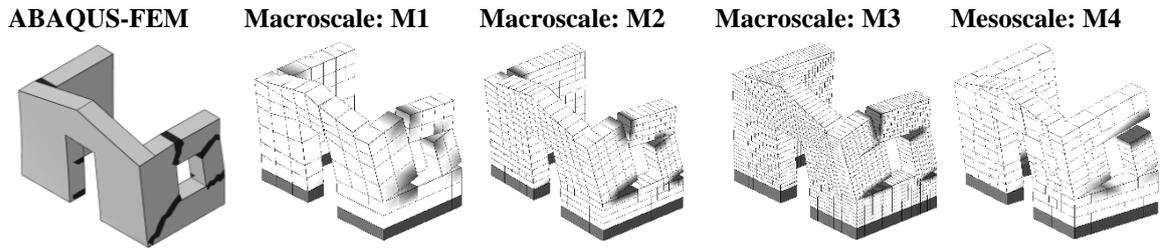


Fig. 5: Comparison of failure mechanisms found for each macro-element mesh discretisation.

#### 4. Numerical Investigation: box prototype

In this section, the influence of the irregular masonry pattern on the structural response of a masonry prototype is investigated (see Fig. 6). Two classes of mesh discretisation (A and B) have been generated by using a tool implemented in a GHPython script (*Grasshopper; The Python Language Reference*). Each subcategory is characterised by a different number of the unit's row equal to (A) 18 and (B) 12, respectively. For both classes, five masonry patterns have been generated with increasing levels of randomness and with or without the presence of openings (Fig. 7).

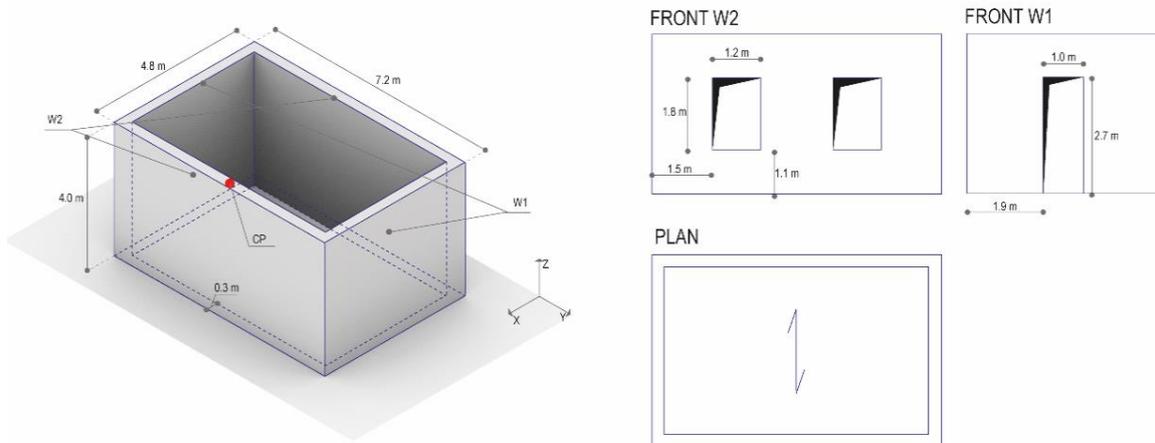


Fig. 6: Parent geometry for box prototype: geometrical features.

## Mesoscale

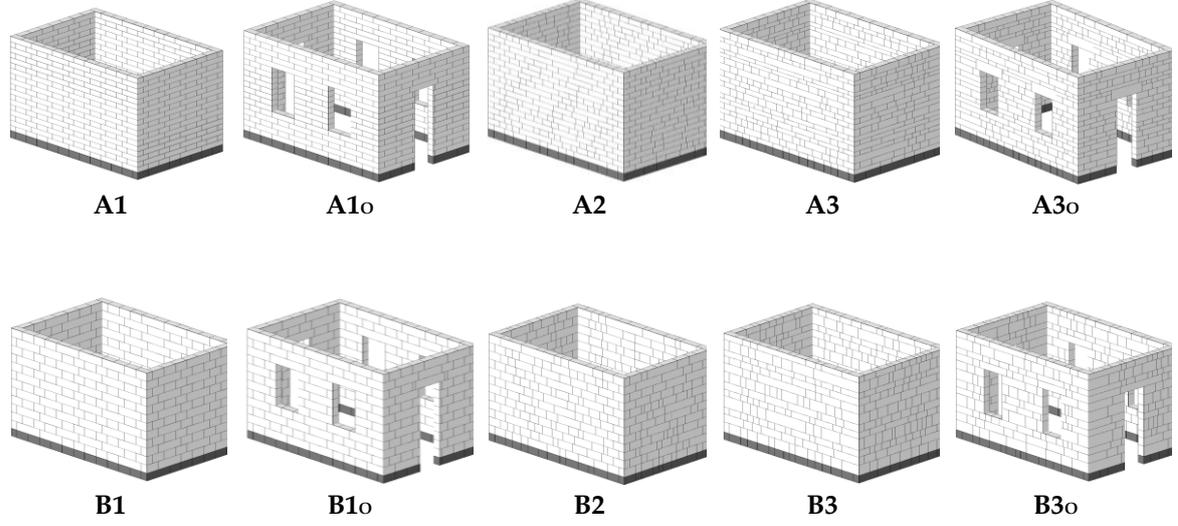


Fig. 7: Mesh discretisations adopted for the box prototype with a total of ten different geometries.

Table 3 and Table 4 summarise the mechanical properties adopted across the numerical simulation. The tensile strength and fracture energy have been assumed to be extremely small to simulate dry-stacked masonry.

Table 3: Mechanical properties adopted for the box prototype: flexural behaviour

Model	Flexural behaviour					
	Density	Young's modulus	Compressive strength	Compressive fracture energy	Tensile strength	Tensile fracture energy
	[kg/m <sup>3</sup> ]	[MPa]	[MPa]	[N/mm]	[MPa]	[N/mm]
Mesoscale	1800	1500	3.80	3.00	0.001	0.0001

Table 4: Mechanical properties adopted for the box prototype: shear behaviour

Model	Diagonal cracking behaviour			Sliding behaviour		
	Shear modulus	Failure criterion	$\tau_0$	$\mu_d$	$c$	$\mu_s$
	[MPa]		[MPa]		[MPa]	
Mesoscale	580	-	-	-	0.001	0.6

### 4.1. Nonlinear static analyses

Nonlinear static analyses have been performed applying an incremental lateral load distribution proportional to the mass, in the X+ direction. The results in terms of capacity curves are reported in Fig. 8a and Fig. 8b for classes A and B of mesh discretisation, respectively. One can note how irregular masonry patterns as well as the presence of the openings, tend to decrease the capacity of the structures. Moreover, Fig. 8 provides a comparison between regular mesoscale configurations (A1, B1), irregular models (A2, A3, B2, B3) and a classical macro-element mesh discretisation (DMEM<sub>A</sub>, DMEM<sub>B</sub>). To this end, reverse engineering was considered for selected mechanical parameters to get a good match in terms of structural response between macroscale and mesoscale models. In order to

simulate the interlocking effect, in the macroscale model, the shear-diagonal behaviour has been calibrated according to a Turnsek-Cacovic failure criterion assuming a perfectly post-elastic law.

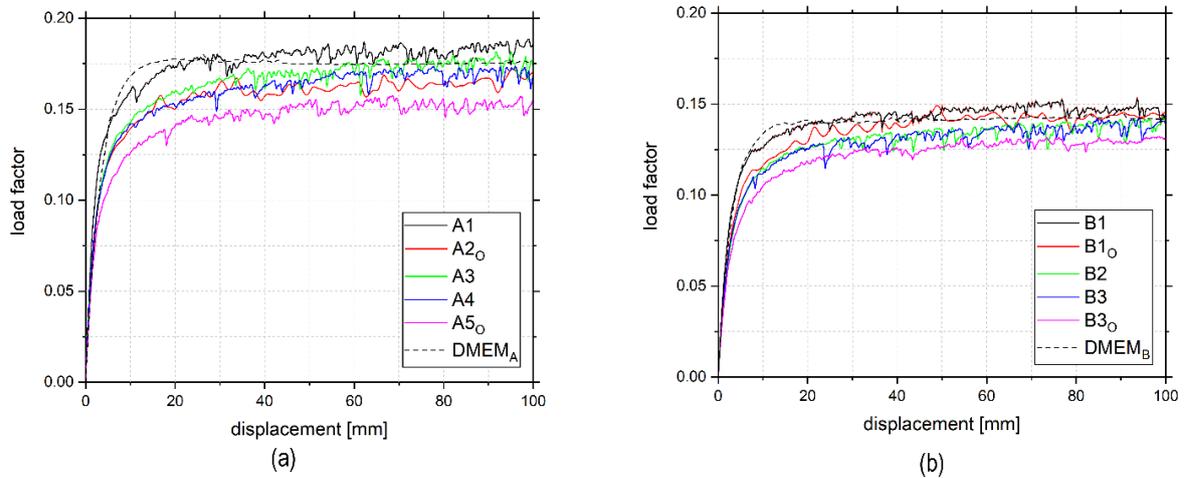


Fig. 8: Comparison in terms of load-displacement curves for classes A and B characterised by a number of units' courses equal to: (a) 18 and (b) 12.

The shear strength in the absence of axial load has been assumed to be equal 0.2 MPa while a value of 0.6 has been considered for the friction coefficient  $\mu_s$ . The tensile strength  $f_t$  and the cohesion  $c$ , which affected the peak of the capacity curve, have been increased to 0.025 MPa in macro-model A and to 0.015 MPa in macro-model B with the aim to satisfactorily reproduce the static nonlinear response of the mesoscale models A1 and B1, respectively. Moreover, the value of tensile fracture energy  $G_{ft}$ , which influenced the post-peak capacity as reported in Pantò et al. (2019), was increased to 0.25 and 0.30 N/mm in the constitutive law of model A and B, respectively. It is worth noting that the interlocking phenomenon effect on the initial stiffness is less pronounced than the previously analysed U-shape. It happens because the interlocking phenomenon tends to increase the structure's initial stiffness, particularly when the slenderness ratio is small.

## 4.2. Nonlinear dynamic analyses

In this section, incremental dynamic analysis (IDA) has been performed applying the Amatrice EW (2016) earthquake record as input ground motion in the x-direction (see Fig. 9a). Several scale factors (SF) have been applied to the selected record until reaching the near-collapse condition of the investigated masonry prototype. The numerical procedure for the solution of the dynamic equilibrium was based on the Newmark method assuming  $\gamma = 0.5$  and  $\beta = 0.25$  (Newmark, 1959) and a time step equal to 0.005 s. Moreover, the energy dissipation was based on a Rayleigh viscous damping criterion where a value of 5% of the damping ratio has been associated with the 1st and 10th natural frequencies.

The results in terms of normalised maximum horizontal displacement of the control point  $u_{\max}/u_{\max,abs}$  are reported in Fig. 9b as a function of the scale factor SF. It is worth noting how the influence of the mesh pattern increases with the increase of the scale factor, as highlighted by the divergent behaviour of maximum reached displacement for higher value of PGA.

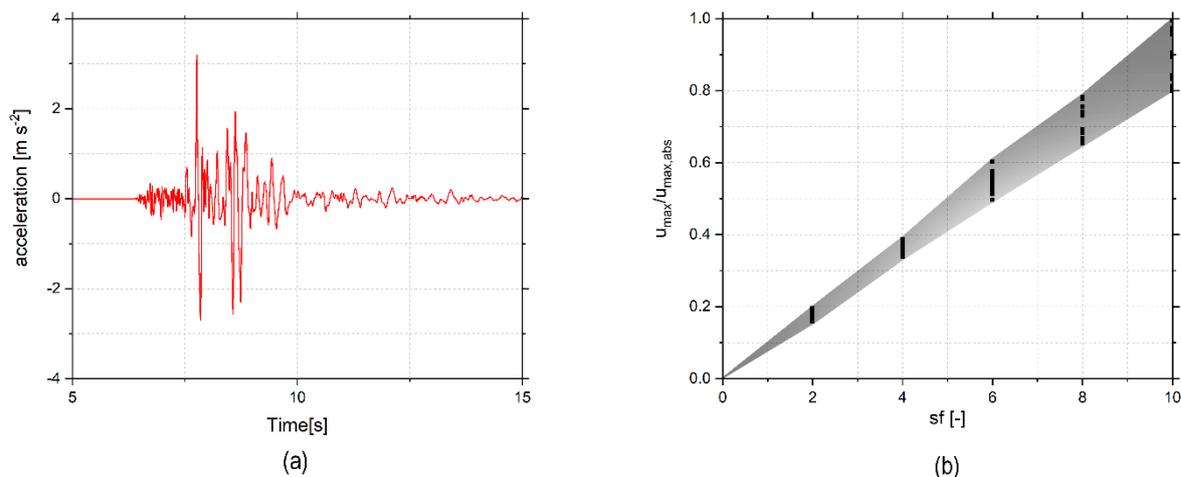


Fig. 9: Nonlinear dynamic analysis: (a) Amatrice EW (2016) record; and (b) ratio between the maximum displacement of the control point and the absolute maximum displacement for all mesh discretisation as a function of the scale factor of the record: envelope of the results.

## 5. Conclusions

This paper investigates the DMEM approach by adopting a mesoscale representation rather than a classical macroscale representation. At first, parametric analyses investigate the mesh sensitivity of the DMEM approach and shed light on the mechanical parameters that need to be calibrated to consider physical phenomena, namely interlocking behaviour generated by the vertical joint misalignment. Such investigations were performed by using a benchmark model represented by a U-shape masonry prototype made in stone, idealising the experimental tests performed at the LNEC shaking table. The results underlined the need to recalibrate the tensile fracture energy as well as the tensile strength. Furthermore, the comparisons with a classical FE homogeneous model calibrated in ABAQUS showed that DMEM requires much lower computational demand, also if the adopted discrete modelling approach contemplated a unit by unit mesoscale modelling.

In order to evaluate the capability to simulate URM structures characterised by units with variable dimensions, ten masonry prototypes were adopted to evaluate how the chaotic distribution of the units might affect the structural behaviour. Both nonlinear static and dynamic analyses were performed, and the results demonstrated how the masonry pattern affected the structural response. It was worth noting how the increase of the randomness degree generated an early loss of the box behaviour.

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